



Advisor Assurance is a comprehensive compliance tool that enables wealth management firms to streamline compliance efforts through the documentation and archival of key advisor-client interactions throughout the entire financial planning process. Below is a list of events catalogued by Advisor Assurance:

- User Account Changes
- User Login Activity
- User Agreement Acceptance or Denial
- User Entitlements Changes
- Advisor Contact Info Changes
- Advisor & Client Alerts
- Basic Client Changes
- Client Website Account
- Additional Login Website Account
- Manual Fact Changes
- Planning Scenarios
- Planning Presentations
- Vault Activity
- Client Notes & Tasks
- Client Connections
- Client Sharing
- Client History